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In the framework of the 2021 Africa Climate Week Virtual Thematic Sessions

**28**  
Sept

**14:00–16:00** GMT +3

## Developing and Implementing National Cooling Action Plans in Africa:

### An Interactive Workshop for Officials and Civil Society

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Sept



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## Developing and Implementing National Cooling Action Plans in Africa:

14:00–16:00 GMT +3

## An Interactive Workshop for Officials and Civil Society



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# Developing and Implementing National Cooling Action Plans in Africa:

An Interactive Workshop for Officials and Civil Society

## Country Needs and NCAP Experiences



**ALICE UWAMALIYA**

**Cooling Associate  
SEforALL**

# Developing and Implementing National Cooling Action Plans in Africa:

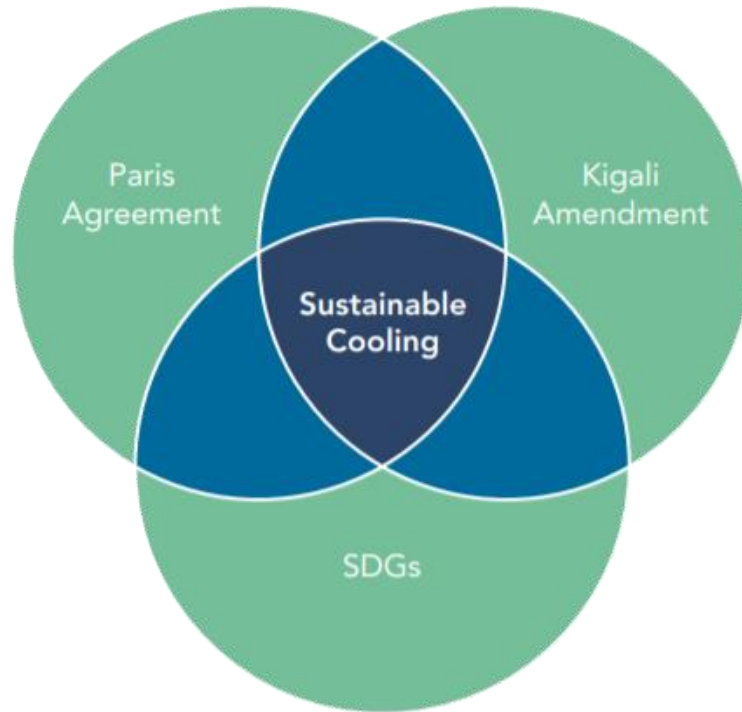
An Interactive Workshop for Officials and Civil Society

## CONTEXT

In a warming world, delivering access to the sustainable cooling is essential for the Sustainable Development Goals.




Sustainable Energy for All (SEforALL) hosts the **Cooling for All Secretariat**, which works with development partners and governments to deliver access to sustainable cooling.

**Access to cooling** is an **essential component of National Cooling Plan** analysis and drafting



# Developing and Implementing National Cooling Action Plans in Africa:

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Global population at risk				
	HIGH RISK		MEDIUM RISK	LOW RISK
Populations at Risk	RURAL POOR	URBAN POOR	LOWER-MIDDLE INCOME	MIDDLE INCOME
Risk Indicators	<ul style="list-style-type: none"><li>Lack of access to energy</li><li>Proportion of rural population living in poverty</li></ul>	<ul style="list-style-type: none"><li>Lack of access to energy</li><li>Proportion of the population living in urban slums</li></ul>	<ul style="list-style-type: none"><li>Proportion of the population living on less than USD \$10.01 / day outside of rural or urban poverty</li></ul>	<ul style="list-style-type: none"><li>Proportion of the population living between \$10.01 and \$20.01 / day</li></ul>
2021 Access Gap	355 MILLION	732 MILLION	2.34 BILLION	1.38 BILLION
Cooling needs	 Human Comfort and safety		 Food and agriculture	 Health services

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## Africa Context



Trends in populations at risk across the 31 identified high-impact countries in Africa (millions)

● Rural Poor ● Urban Poor ● Lower-Middle Income

High-impact countries in Africa			
Population at Risk	Change since 2018 (%)	Proportion of Population (% of total population)	Proportion of Population (% of global total for vulnerable group)
Rural Poor	11.6%	21%	49%
Urban Poor	12.6%	26%	29%
Lower-Middle Income	18.6%	46%	17%

Trends across 31 high-impact countries in Africa

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## Needs driven NCAP

ACCESS TO COOLING NEED	Human comfort and safety: for living, learning, working, and mobility		Food, nutrition security and agriculture: for nutrition, rural incomes, and connectivity	
GUIDING QUESTIONS	 To what extent does the population have access to the space and mobility cooling that is adequate to maintain safety and productivity, at home, in places of education and in the work environment and while moving between each?		 Is income from agriculture and fisheries sufficient to keep workers out of absolute and relative poverty?	 To what extent does the population currently have access to an affordable, nutritious and safe diet?
	  		  	  

## Cooling Access Gap

- Promoting sustainable and smart cooling practices
- Delivery of efficient and sustainable cooling and bring essential life-preserving services like vaccines and safe food to all people
- Promoting the adoption and increased access to energy and energy-efficient, affordable appliances

- Identifies or quantifies vulnerable groups
- Includes measures that supports major international treaties such as the Paris Agreement

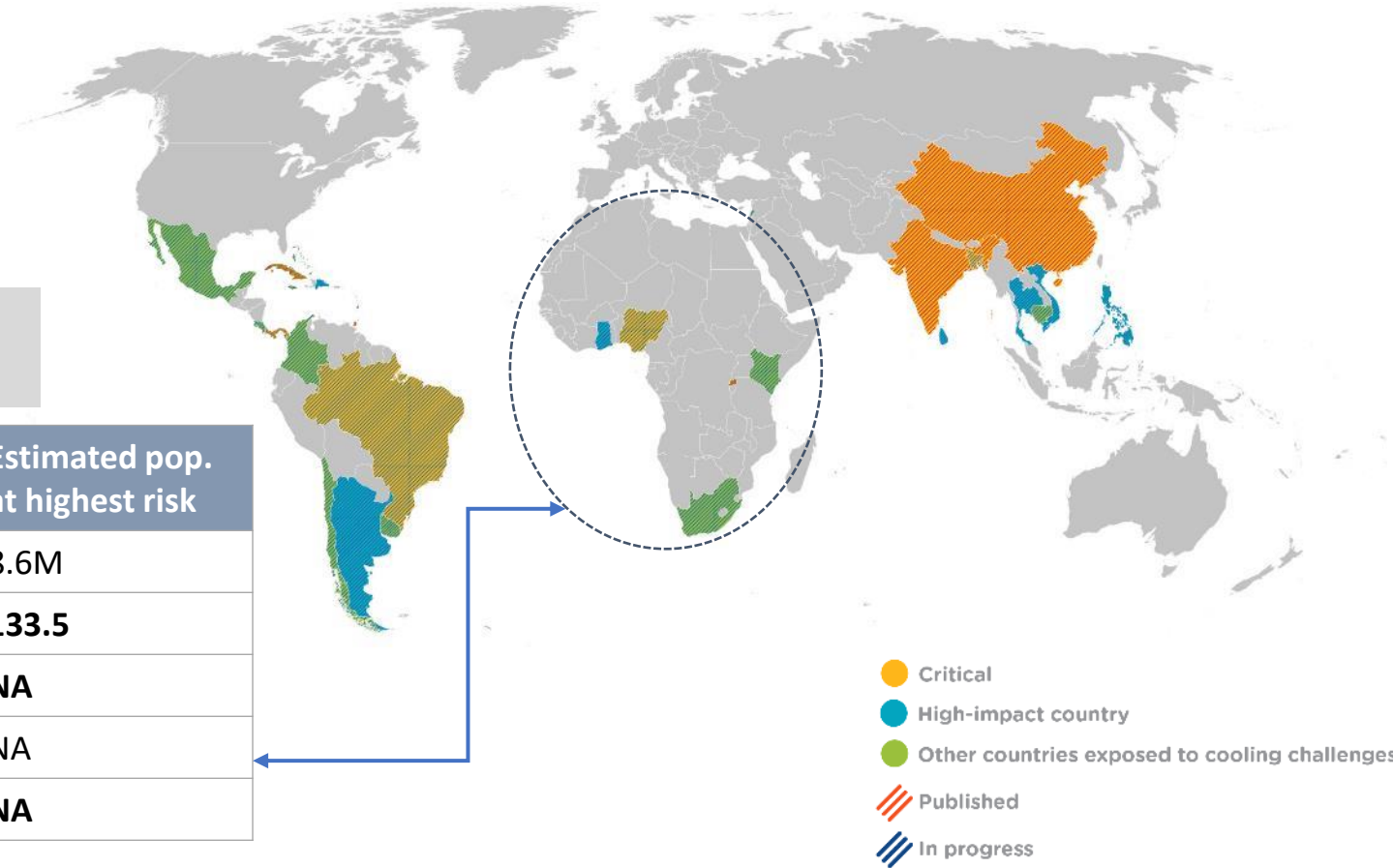


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## Status update on National Cooling Plans

Country	Risk category	NCAP Status	Estimated pop. at highest risk
Ghana	High Impact	In progress	8.6M
Nigeria	Critical	In Progress	133.5
Kenya	Other	In Progress	NA
Rwanda	Other	Published	NA
South Africa	Other	In Progress	NA





# Developing and Implementing National Cooling Action Plans in Africa:

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**THANK YOU**

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## Developing and Implementing National Cooling Action Plans in Africa:

**An Interactive Workshop for Officials  
and Civil Society**

**Introduction to the methodology for the development  
of a National Cooling Action Plan**

**Marco Duran, Energy Efficiency and Cooling Specialist, UNEP**



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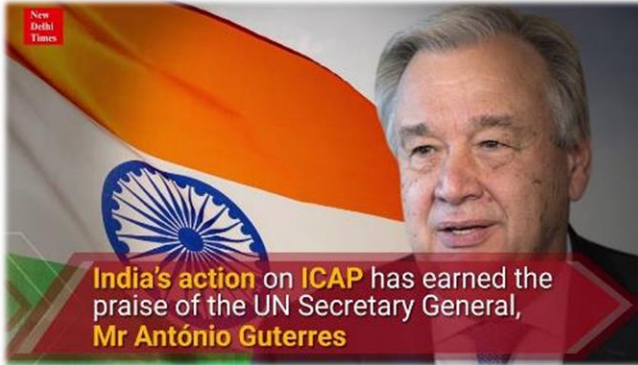


**Clean Cooling  
COLLABORATIVE**



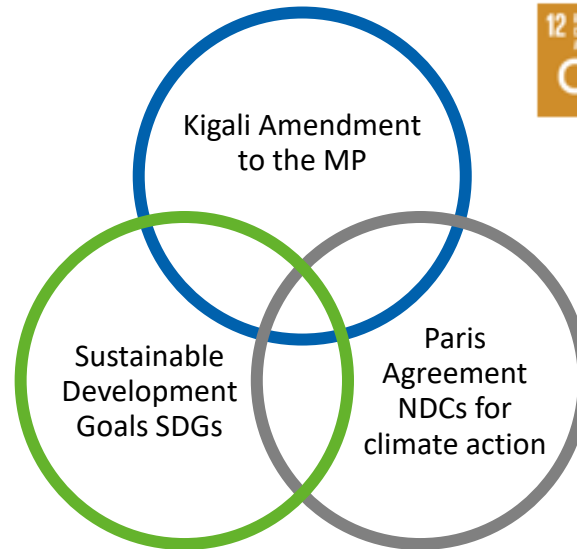
# Key role of National Cooling Action Plans (NCAPs)

## Connecting sectors and international commitments



*"We need all countries to develop National Cooling Action Plans to deliver efficient and sustainable cooling and bring essential life-preserving services like vaccines and safe food to all people."*

- Antonio Guterres, UN Secretary General  
World Ozone Day 2019



# Developing a comprehensive methodology

## to support countries in developing their NCAP



In collaboration with



A holistic methodology to support countries with a **comprehensive guiding framework** for developing their NCAP. Currently piloted in **Cambodia** and **Indonesia**

## Think Holistically, Plan Strategically

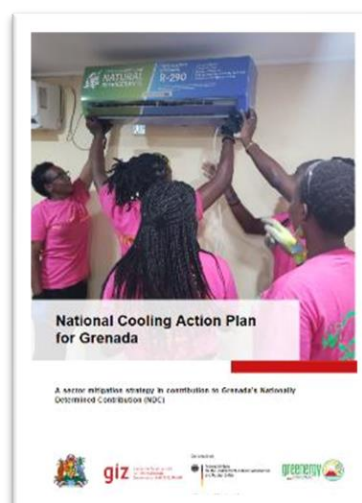
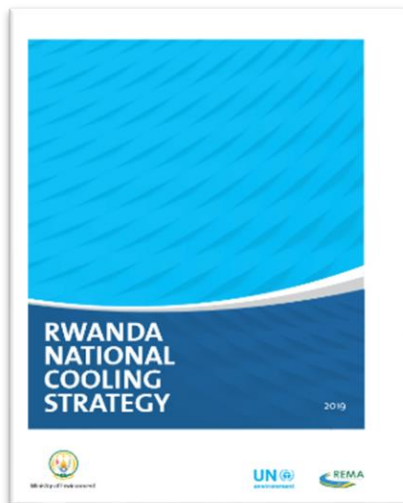


# NCAPs for joint coordinated action



## Country's can better plan their cooling action with NCAPs

- Diagnose the national situation and define limitations and scope (priority sectors)
- Understand the National Context and ongoing efforts to improve inter-ministerial coordination
- Recommendations-based: to respond to key gaps and opportunities
- Supporting tools and programme development to help drive implementation
- Coordination for implementation of priority actions, manage international funding and reduce duplication



\*Kenya NCAP

\*South Africa  
NCAP

\*Nigeria  
NCAP

Some examples already published and \*upcoming in the region

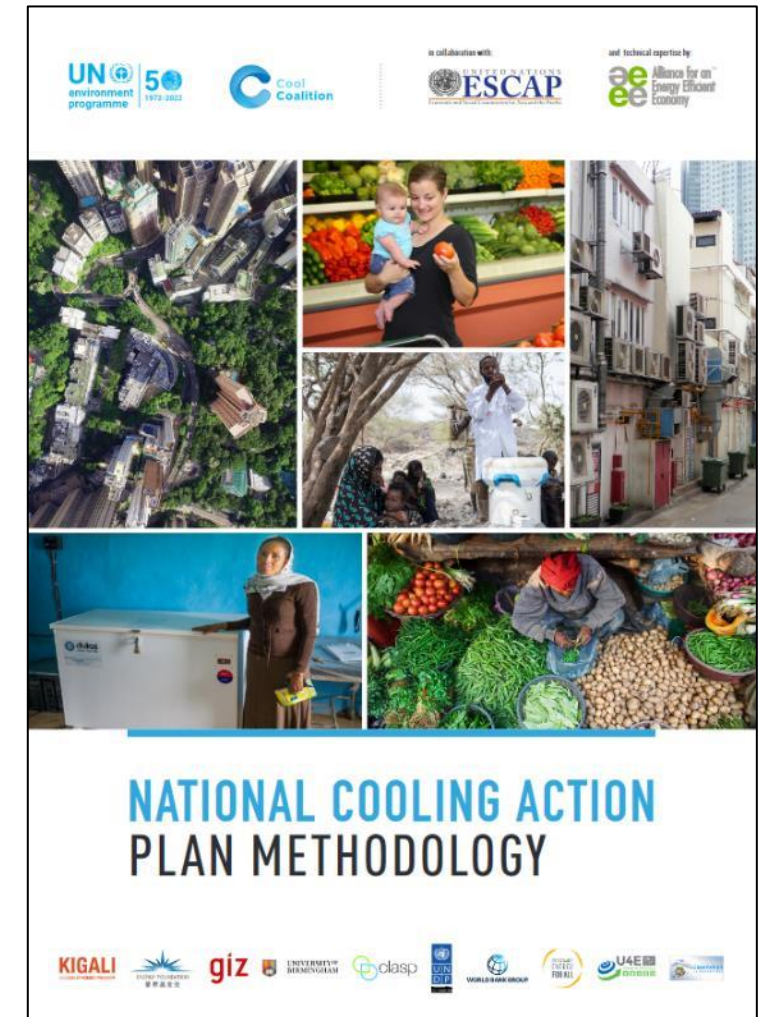
# National Cooling Action Plan Methodology (2021)



Build on partners' and countries' experience and insights  
through the Cool Coalition NCAP Working Group

## NCAP 7-step Methodology

1. Country-Context Mapping
2. NCAP Planning And Pre-Work
3. Sector-Wise Current And Future Cooling Demand Assessment
4. Sector-Specific Recommendations & Solutions
5. Integration
6. Development of NCAP Recommendations
7. NCAP Report & Implementation Guidance

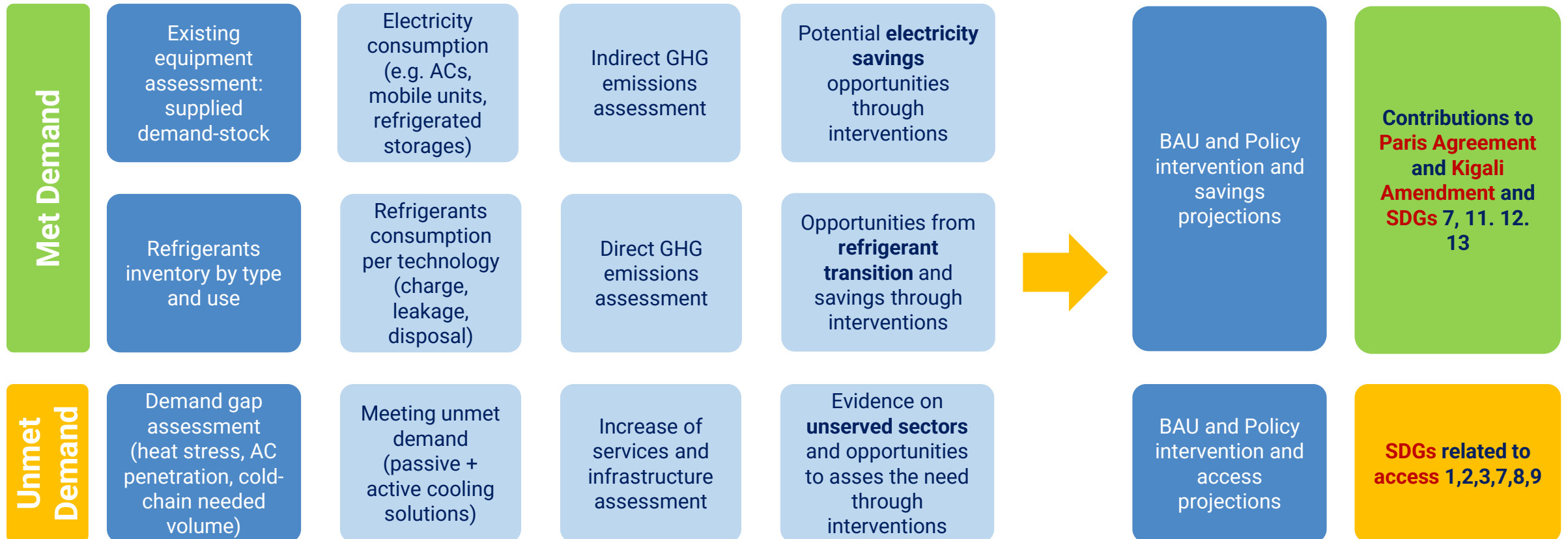


# Linking Climate Action, Kigali Amendment and SDGs

## through NCAPs

### 5 modular sector: Space Cooling, Food and Healthcare Cold Chain, Mobile AC and Process/Industrial Cooling

Through the NCAP Cooling Data Assessment we address:



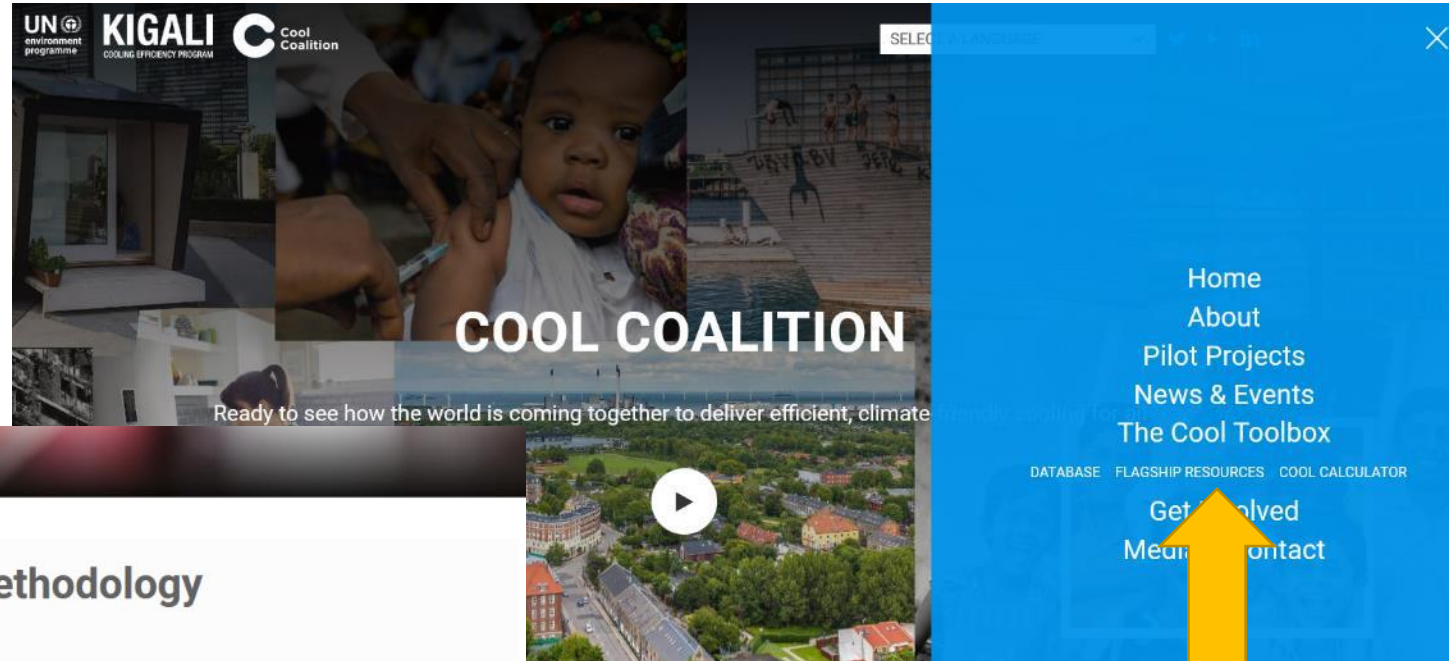
# Where to access

# the NCAP methodology?

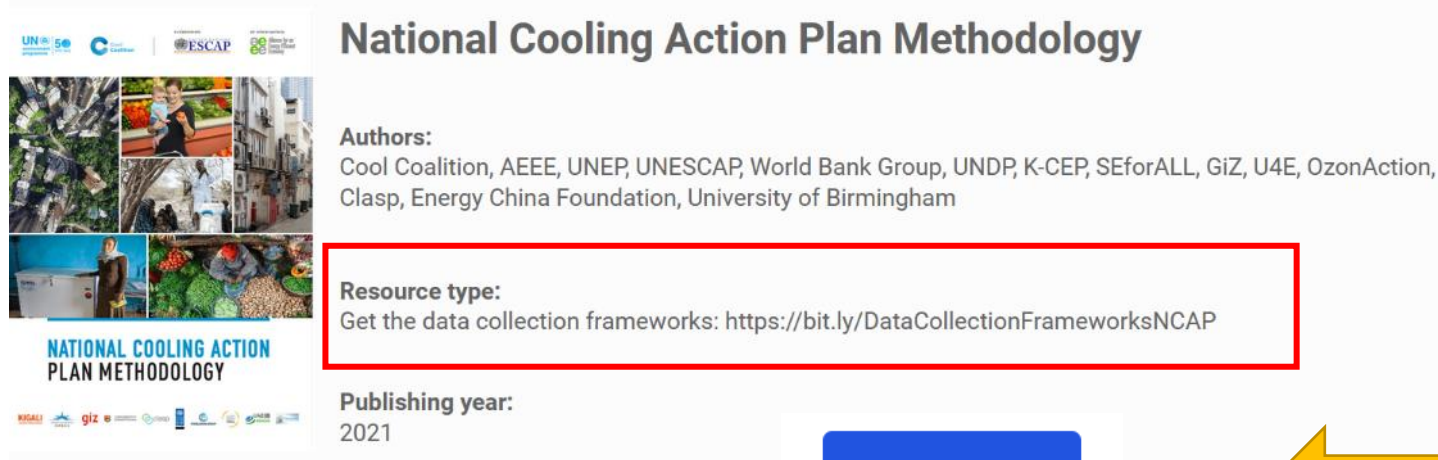


<https://coolcoalition.org/>

1



Publication



DOWNLOAD

2





# Developing and Implementing National Cooling Action Plans in Africa: An Interactive Workshop for Officials and Civil Society

28 September 2021

## The NCAP Development Process and Cooling Demand Assessment

**Satish Kumar, President and Executive Director, AEEE**  
**Gerry George, Senior Research Associate, AEEE**

**aeee** Alliance for an  
Energy Efficient  
Economy



In collaboration with:



**Clean Cooling  
COLLABORATIVE**



# The NCAP Development Methodology

# NCAP Methodology: Supporting Cooling Action at a 'National' Level

**PURPOSE:** A holistic but modular 'guidemap' for the development of National Cooling Action Plans that –

- Drives integrative action across multiple sectors of cooling and considers access to cooling for all
- Sets direction and actionable targets for addressing access to cooling while reducing its environmentally harmful impacts & maximizing the socio-economic benefits

**DESIGN:** Recognizing the diverse needs and context across countries, the Methodology is:

- Highly customizable to a country's priorities and capacities
- A process that is within the reach of most countries TODAY and can enable immediate and prioritized action towards climate-friendly cooling
  - Not a prescriptive approach; not a modeling framework

To support its objectives, two foundational characteristics are imbued into every step of the Methodology:

## 1. Adaptability is critical.

- Methodology provides guidance while affording NCAP development teams high levels of discretion and flexibility to adapt to countries' unique context and needs

## 2. Simplification and prioritization are important.

- The methodology has to be simple and logical; enabling countries to prioritize (and/or phase out) the steps based on their resource availability/constraints
- Data collection has to be kept simple; excessive data requests can overwhelm the stakeholders and add unnecessary complexity (even resistance!)



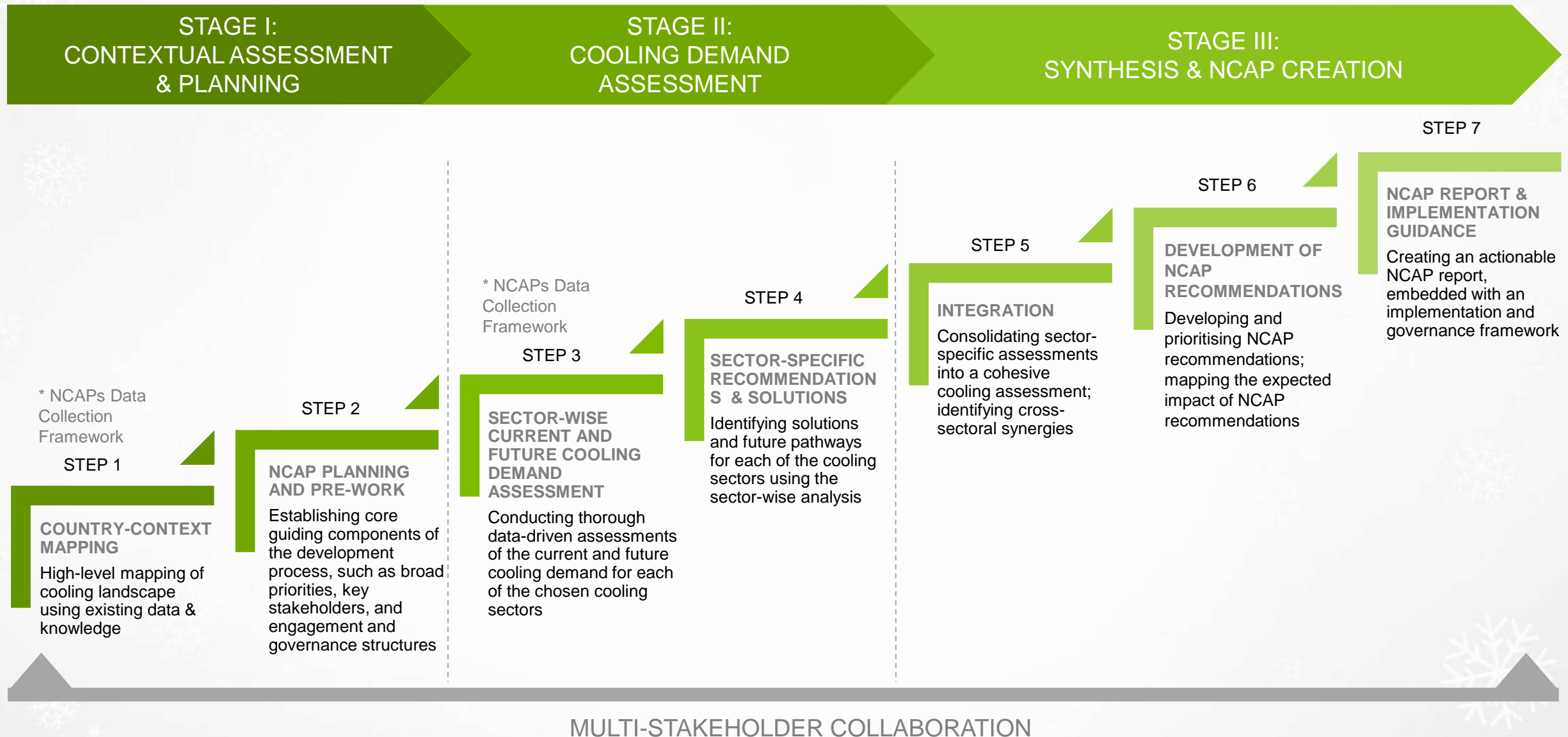
# Methodology Underscores an Integrated Approach to Addressing Cooling

An Integrated Approach to address cooling should be the norm, and calls for:

- First, reduce the cooling loads to the extent possible
  - Such as, through thermally efficient building design and construction, and passive cooling practices in case of the building sector
- Then, serve the cooling loads efficiently & with low-climate impact
  - Such as, with appropriate and efficient cooling equipment and solutions that use environment-friendly refrigerants to deliver the required amount of cooling with less energy and lower overall emissions
- And, optimize the cooling operations and behaviors
  - Such as, through good O&M practices, user adaptations etc. to ensure that cooling is delivered only to where and when it is needed

Right-size the demand for cooling and optimize the supply of cooling;  
apply both strategies in conjunction

# The NCAP Development Process



# Multi-stakeholder & collaborative development – right from the start

- Mechanisms for effective inter-government and triple-sector engagement
- Importance of a nodal/coordinating entity that owns and drives the process



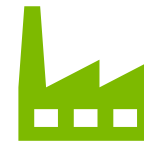
NCAP development  
team



Researchers and  
analysts



Government  
entities



Private sector &  
industry

## Why Integrated policymaking:

- Synergistic dovetailing of ongoing and emergent public policies and programs either laterally through parallel ministries and departments or vertically through different tiers of government<sup>1</sup>
- Helps align objectives, sets in powerful integrative effects — such that whole is greater than the sum of parts
- Includes actors beyond the government—Triple Strength Leadership:
  - The public sector, the private sector, and the academic/non-profit sector will need to work in tandem and with equal enthusiasm if non-trivial challenges such as reaching net-zero GHG emissions by 2050 have to be met.<sup>2</sup>

1 - Meijers, E. and Stead, D., 2004. Policy integration: what does it mean, and how can it be achieved? A multi-disciplinary review. In: Berlin Conference on the Human Dimensions of Global Environmental Change: Greening of Policies – Interlinkages and Policy Integration. [online] Available at: [http://userpage.fu-berlin.de/ffu/akumwelt/bc2004/download/meijers\\_stead\\_f.pdf](http://userpage.fu-berlin.de/ffu/akumwelt/bc2004/download/meijers_stead_f.pdf)

2 - Lovegrove, N. and Matthew Thomas, M., 2013. Triple-Strength Leadership. Harvard Business Review, [online] Available at <https://hbr.org/2013/09/triple-strength-leadership>



# I. Contextual Assessment & Planning

## Data Collection Framework

- Country Context Mapping

### STEP 1

#### COUNTRY-CONTEXT MAPPING

- Socio-economic growth drivers for cooling demand
- International/ national targets and commitments
- Comprehensive view of policies & programs related to Cooling
- Other factors: technology & market trends, manufacturing
- Resources, capabilities and knowledge-base
- Assessing impacts: Electricity and GHG; socio-economic



### STEP 2

#### PLANNING AND PREWORK

- Identifying nodal government entity
- Multi-stakeholder engagement structure/process
- NCAP development team, team-governance & collaboration model, timeline



## Intended outcomes:

- *Informs priorities; Highlights potential gaps & opportunities; Catalyzes synergies; Guides next steps*
- *Establishes the board contours and key stakeholders for the country's NCAP development*

## II. Cooling Demand Assessment

### Data Collection Framework

- Space cooling in buildings
- Food and healthcare cold-chains
- Mobile AC
- Industrial process cooling
- Access to cooling

#### STEP 3

##### SECTOR-WISE CURRENT AND FUTURE COOLING DEMAND (BAU & INTERVENTION SCENARIOS)

- Setting the baseline: thorough data-driven assessment of the current cooling demand
- Future growth projections: Business-as-usual & Intervention scenarios
- Foundational logic/assumptions behind the key sector-wise recommendations



#### STEP 4

##### SECTOR-SPECIFIC RECOMMENDATIONS & SOLUTIONS

- Derive meaningful recommendations to address the cooling growth in the sector
- Prioritize actions: ease of implementation, impacts/benefits
- Consider synergies with existing policies & programs



### Intended outcomes:

- *Baseline for the Country's cooling demand (and impacts)*
- *An informed view onto the impacts of the future growth, the 'cost of doing nothing' (BAU growth)*
- *Sector-specific priorities, including quick and high-impact interventions, and the strategic longer-term interventions*

### III. Synthesis and NCAP Document

#### STEP 5

##### INTEGRATION

- Aggregation of the sector-specific analysis into cohesive country-wide view of cooling
- Identifying cross-sectoral and cross-functional synergies for accelerated action



#### STEP 6

##### DEVELOPMENT OF NCAP RECOMMENDATIONS

- Development and strategic prioritization of NCAP recommendations
- Mapping the expected impact of the NCAP recommendations



#### STEP 7

##### NCAP REPORT & IMPLEMENTATION GUIDANCE

- Creating a 'live' and actionable NCAP report
- Embedding an implementation and governance framework into the NCAP



#### Intended outcomes:

- *Alignment among key stakeholders and government entities*
- *'Big' goals of the NCAP*
- *An actionable roadmap that has the 'ownership' and a governance structure for guiding and monitoring future actions*



# Cooling Demand Assessment for NCAP Development

(Using Data Assessment Frameworks)



# What is cooling demand assessment?

- Data-intensive and analytical step of the NCAP development process
- A thorough data-driven assessment of the current and future
  - Cooling demand (met, unmet, and total cooling demand)
  - Cooling energy consumption
  - Refrigerant consumption
  - Greenhouse gas (GHG) emissions from cooling
- Informs
  - Sector-specific priorities including quick and high-impact interventions in the short-term
  - Strategic interventions in the longer-term

- **Met cooling demand:** Cooling delivered through mechanical means
- **Unmet cooling demand:** Cooling demand not served because of lack of access to cooling

NOTE: The reliable quantification of the unmet cooling needs is a challenging task where modelling capabilities are required. Therefore, this cooling demand assessment utilises indicators to assess the lack of access to cooling to help estimate, to the extent possible, the country's unmet cooling demand.

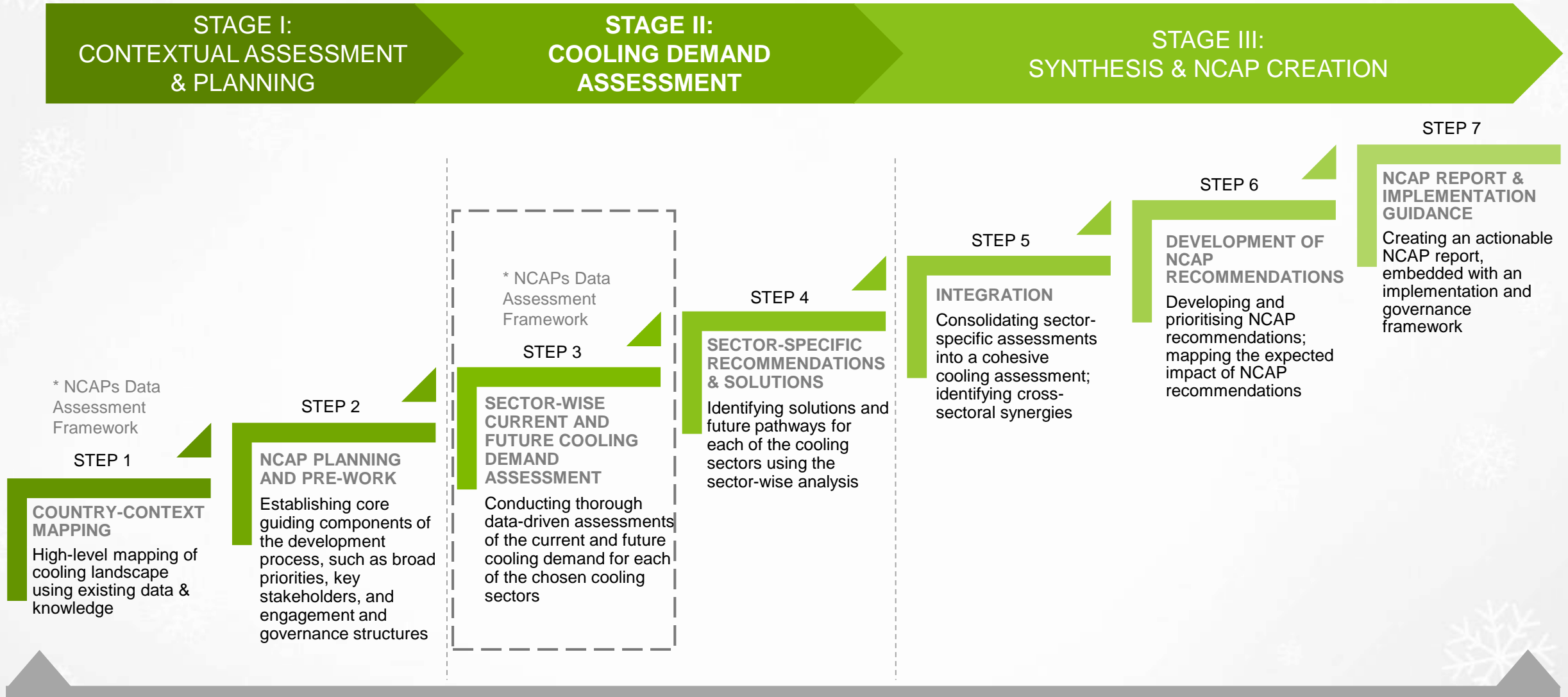
- Total cooling demand: **Met cooling demand** + **unmet cooling demand (estimated to the extent possible)**
- Future growth scenarios
  - Business as usual scenario: Projects how the current cooling demand will evolve based at the ongoing level/pace of effort
  - Intervention scenario: Projects how the current cooling demand will evolve based on an accelerated level/pace of effort

# Robust data is key but not a show-stopper

- Availability of good quality and enough data is a common challenge
- Leverage government databases, international publications, market reports, etc.
- Close data gaps using logical assumptions and expert interviews
- Periodically revise the cooling demand assessment as new data become available

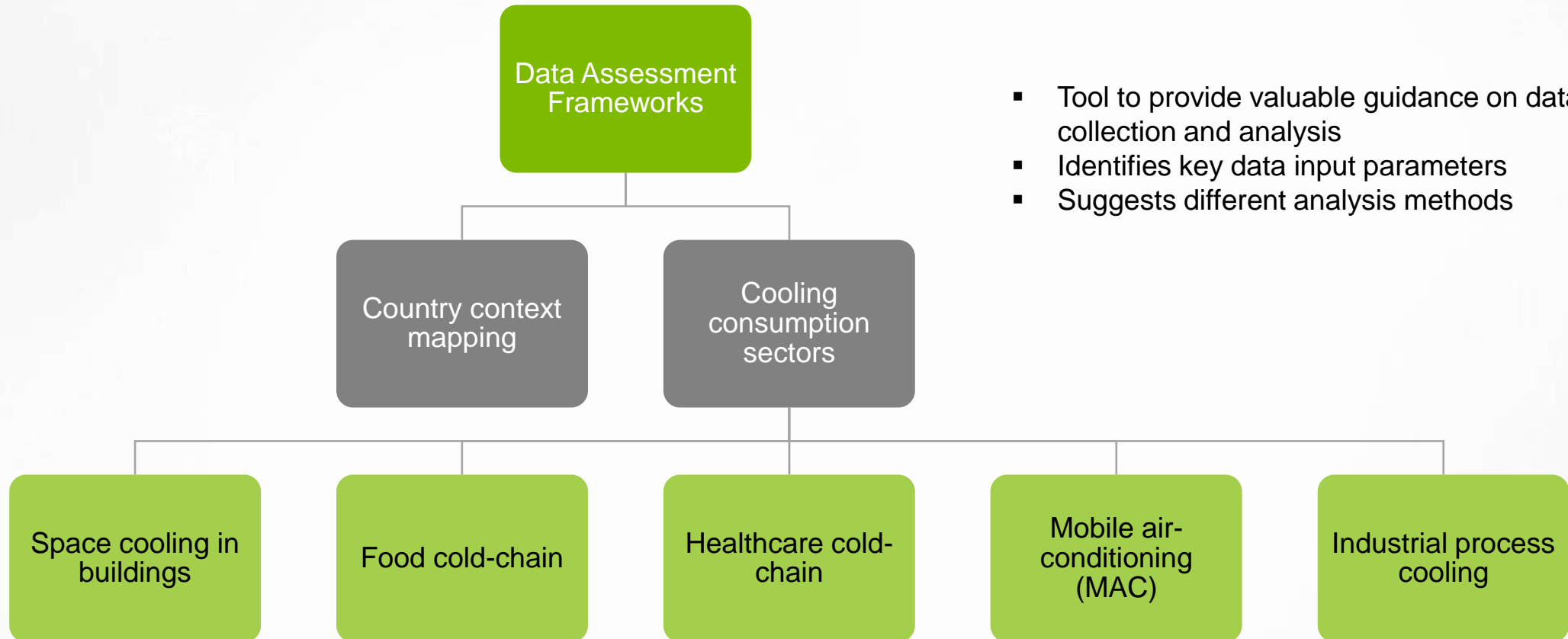


# Cooling demand assessment is Stage II in the NCAP development methodology





# Introducing Data Assessment Frameworks



- Tool to provide valuable guidance on data collection and analysis
- Identifies key data input parameters
- Suggests different analysis methods

**NOTE:** Frameworks for space cooling in buildings, food cold-chain, and healthcare cold-chain provide high-level guidance on the unmet cooling demand

# How to use the Data Assessment Frameworks

- Frameworks are ‘directional’, not ‘instructional’
  - Include flexible features to suit a country’s capacities, needs, and contexts
- Use them in combination with the detailed steps described in the NCAP Development Methodology
- Not a modelling exercise



# Sector-wise current and future cooling demand assessment

## Activity 1: Map sector considerations

### Main elements

- Identify sector growth drivers
- Map prevalent technologies
- Map current policies and programmes

## Activity 2: Select sector objectives

### Main elements

- Lay out sector objectives of the data assessment exercise

## Activity 3: Decide what to calculate

### Main elements

- Select data outcomes

## Activity 4: Decide how to calculate

### Main elements

- Conduct a broad assessment of the available data, computational resources, and domain expertise available
- Select data analysis pathway/s depending on the above

## Activity 5: Identify and collect input data

### Main elements

- Identify and collect the input data for the chosen analysis pathway

## Activity 6: Estimate the Baseline

### Main elements

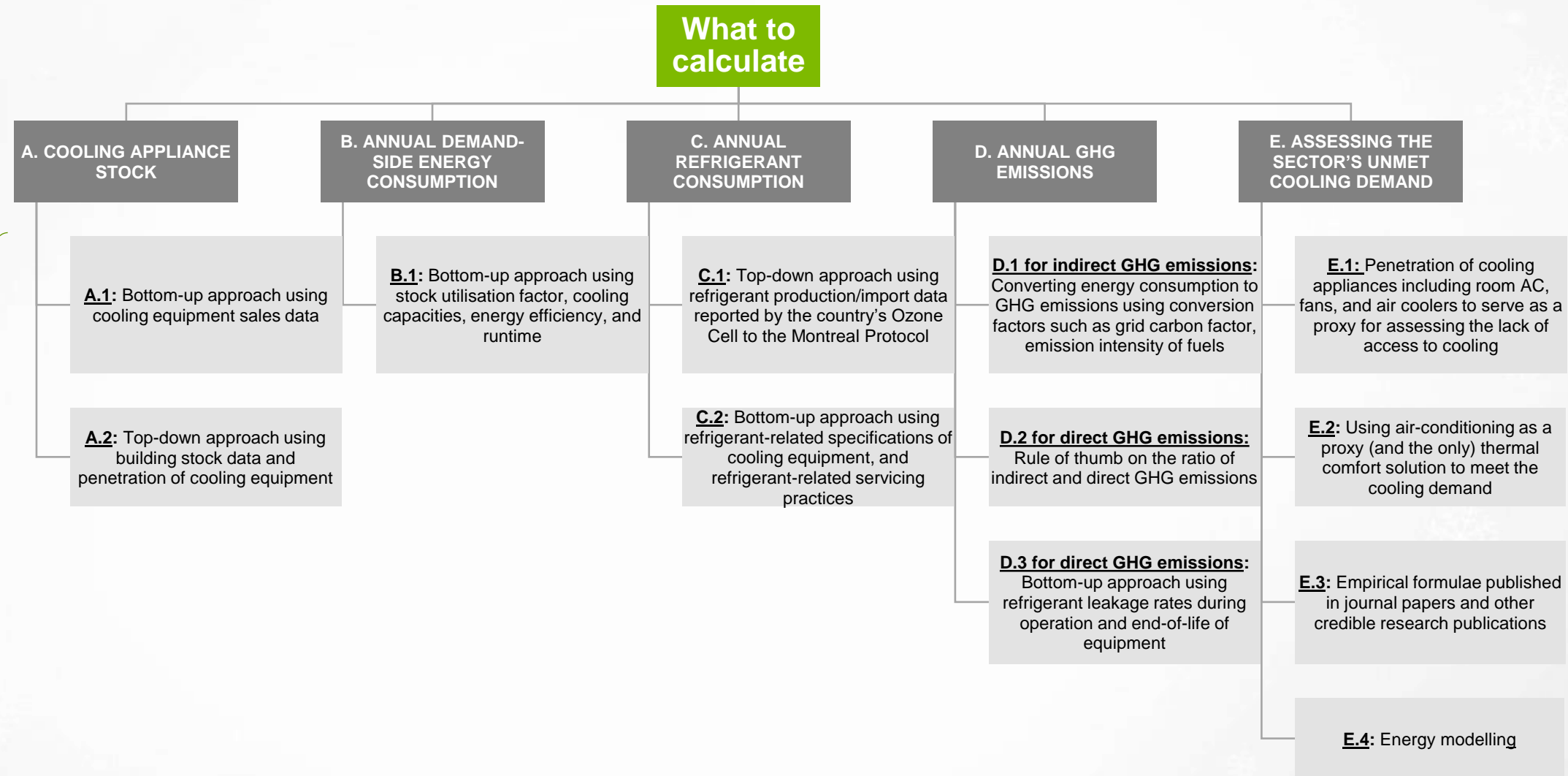
- Estimate the baseline rigorously

## Activity 7: Project future growth scenarios

### Main elements

- Project at least 2 future growth scenarios
  - 1 business as usual scenario
- At least 1 intervention scenario

# Example: Data Analysis Pathways for Space Cooling in Buildings



How to calculate →



# Example: Sector Orientation: Food Cold-chain

## Sector description

- Chain of logistics activity to service the market connectivity of perishable products from the production stage to consumers

## Sector mapping

- Production: Hydro cooling, ice cooling
- Sorting/grading/packaging: Precooling units, milk cooling units
- Processing: Cooling system in processing plants
- Storage: Cold storages, ripening chambers, controlled atmosphere rooms
- Transportation: Reefer vehicles, refrigerated containers, insulated milk tanker vans
- Retail/Hospitality: Deep freezer, visi-cooler, remote condensing unit, supermarket
- Domestic use: Domestic refrigerators, freezers

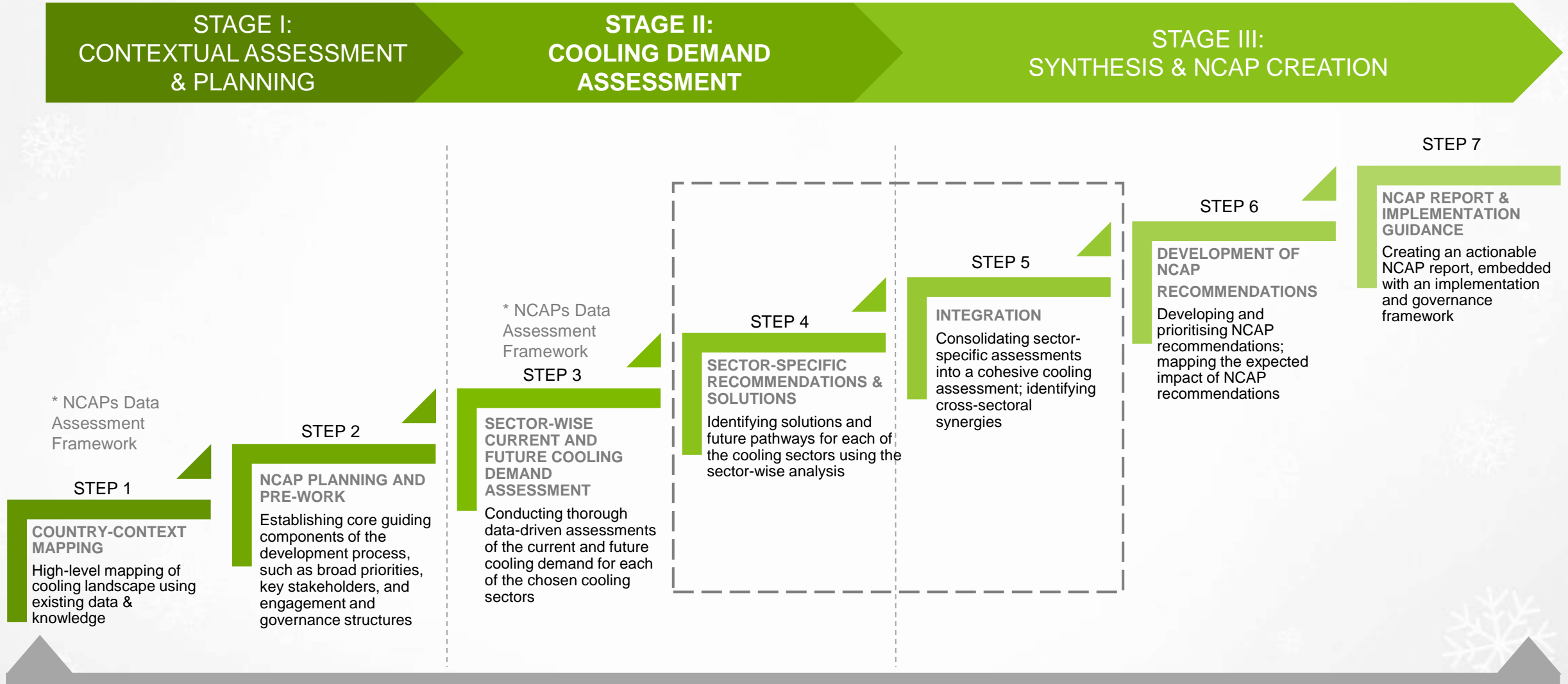
## Considerations

- Import and export market for agriculture, dairy, meat, and fish
- Consumption behaviour in the country dominated by local markets OR fair share of local markets and organised retail OR dominated by organised retail

## Key data indicators

- Local production and import of various food products
- Current food cold-chain infrastructure
- Existing food loss due to lack of cold chain
- Energy efficiency indicators

# Cooling demand assessment is Stage II in the NCAP development methodology



# Sector-specific Recommendations & Solutions and their Integration

## Main elements

- Synthesize analysis to derive meaningful solutions and future pathways
- Prioritise recommendations based on:
  - Ease of implementation
  - Potential impacts and co-benefits
  - Synergies with existing government policies and programmes

## Example: Space Cooling in Buildings

### Suggested interventions

- Policy formulation & implementation  
Example: Leverage MEPS & S&L of cooling equipment to influence consumers purchasing decisions
- Market enablers & supporting instruments  
Example: Capacity building and training of HVAC and refrigerant service professionals
- Innovative financial instruments  
Example: Incentive mechanisms to shift the market toward energy efficient, and low-climate impact space cooling

## Integration

- Consolidation of sector-specific assessments into an aggregated nationwide cooling assessment
- Relative importance of sectors in terms of demand growth and opportunities for interventions
- Opportunities for cross-sectoral synergistic actions



— THANK YOU